



PTO/SB/21 (08-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/632,642
Filing Date	August 1, 2003
First Named Inventor	HABERT, Joseph R.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	1966-152

Total Number of Pages in This Submission

**ENCLOSURES** (Check all that apply)

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input type="checkbox"/> Other Enclosure(s) (please identify below):                       |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                              |  |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	STERN, Steven Gottlieb, Rackman & Reisman, P.C., 270 Madison Avenue, New York, New York 10016
Signature	
Date	December 31, 2003

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	BOWIE, Dahlia A.		
Signature		Date	December 31, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Ref. No.: 1966/052

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : HABERT, Joseph R.  
Serial No. : 10/632,642  
Filing Date : August 1, 2003  
Title : DECORATIVE SOCKS WITH THREE DIMENSIONAL  
ORNAMENTS AND RELATED INDICIA

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

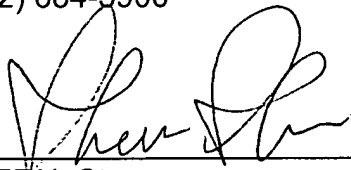
Enclosed are Information Disclosure Citation Forms together with copies of the documents cited on each form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Forms be initialed by the Examiner to indicate such consideration and copies thereof returned to applicant.

Pursuant to 37 C.F.R. §1.97 (g) and (h), the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

The Commissioner is authorized to charge any additional fees that may be required, or to credit any overpayment to Deposit Account No. 07-1730.

Respectfully submitted,

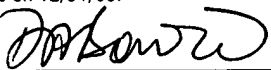
GOTTLIEB, RACKMAN & REISMAN, P.C.  
Attorneys for Applicant(s)  
270 Madison Avenue, 8<sup>th</sup> Floor  
New York, New York 10016  
(212) 684-3900

  
\_\_\_\_\_  
STERN, Steven  
Reg. No. 50,320

Dated: New York, New York  
December 31, 2003

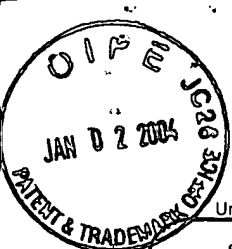
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\_\_\_\_\_  
BOWIE, Dahilla A.

December 31, 2003  
\_\_\_\_\_  
Date



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/632,642
Filing Date	August 1, 2003
First Named Inventor	HABERT, Joseph R.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	1966-152

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 0096066 - A1	05-22-2003	Clark	General state of the art
		US- 3,000,013 - B1	09-19-1961	Traenkle	General state of the art
		US- 4,055,858 - B1	11-01-1977	Traenkle	General state of the art
		US- 4,106,126 - B1	08-15-1978	Traenkle	General state of the art
		US- 5,038,413 - B1	08-13-1991	Ursino	Col. 3, lines 28-41; Fig. 2-3
		US- 5,308,671 - B1	05-03-1994	Wells	General state of the art
		US- 6,216,279 - B1	04-17-2001	Ellingson	General state of the art
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> * Number <sup>4</sup> * Kind Code <sup>5</sup> (if known)				
		WO 00/13535 A1	03-16-2000	Ellingson	p. 9; Figs. 3-6	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/632,642		
		Filing Date	August 1, 2003		
		First Named Inventor	HABERT, Joseph R.		
		Art Unit	To Be Assigned		
		Examiner Name	To Be Assigned		
Sheet		of		Attorney Docket Number	1966-152

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		All-Fashion-Clothes.com - Fruit Pom Pom Girls Sock [online], Unknown Publication Date, [Retrieved on 06/02/2003]. Retrieved from Internet: < <a href="http://www.all-fashion-clothes.com/pacsun_item.php?sku=0007938228">http://www.all-fashion-clothes.com/pacsun_item.php?sku=0007938228</a> >	
		All-Fashion-Clothes.com - Ducky Pom-Pom Ped Girls Sock [online], Unknown Publication Date, [Retrieved on 06/02/2003]. Retrieved from Internet: < <a href="http://www.all-fashion-clot.com/pacsun_item.php?sku=0008586083">http://www.all-fashion-clot.com/pacsun_item.php?sku=0008586083</a> >	
		Absolute Ties Online - Strawberry Shortcake Socks [online], Unknown Publication Date, [Retrieved on 06/01/2003]. Retrieved from Internet: < <a href="http://absoluteties.com/stshso.html">http://absoluteties.com/stshso.html</a> >	
		Oshkosh B'Gosh Online Store - Floral Socks [online], Unknown Publication Date, [Retrieved on 06/01/2003]. Retrieved from Internet: < <a href="http://www.oshkoshbgosh.com/product.asp?pid=4865&amp;did=914">http://www.oshkoshbgosh.com/product.asp?pid=4865&amp;did=914</a> >	
		Petit Patapon - Blue Rabbit Toe Socks [online], Unknown Publication Date, [Retrieved on 06/02/2003]. Retrieved from Internet: < <a href="http://www.petitpatapon.com">http://www.petitpatapon.com</a> >	
		Absolute Socks - Easter Socks - Ladies [online], Unknown Publication Date, [Retrieved on 06/02/2003]. Retrieved from Internet: < <a href="http://absolutesocks.com/eassoclad.html">http://absolutesocks.com/eassoclad.html</a> >	
		Product depicted in photograph taken on October 16, 2003- Strawberry Pom Pom Sock distributed by Rainbow Apparel, Brooklyn NY 11207 and made in Taiwan.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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